

**APPENDIX A TO SUBPART I OF PART 103 -
CERTIFICATION REGARDING CORRESPONDENT ACCOUNTS FOR FOREIGN BANKS**
[OMB Control Number 1505-0184]

The information contained in this Certification is sought pursuant to Sections 5318(j) and 5318(k) of Title 31 of the United States Code, as added by sections 313 and 319(b) of the USA PATRIOT Act of 2001 (Public Law 107-56).

This Certification should be completed by any **foreign bank** that maintains a **correspondent account** with any U.S. bank or U.S. broker-dealer in securities (a covered financial institution as defined in 31 C.F.R. 103.175(f)). An entity that is not a foreign bank is not required to complete this Certification.

A **foreign bank** is a bank organized under foreign law and located outside of the United States (see definition at 31 C.F.R. 103.11(o)). A **bank** includes offices, branches, and agencies of commercial banks or trust companies, private banks, national banks, thrift institutions, credit unions, and other organizations chartered under banking laws and supervised by banking supervisors of any state (see definition at 31 C.F.R. 103.11(c)).*

A **Correspondent Account** for a foreign bank is any account to receive deposits from, make payments or other disbursements on behalf of a foreign bank, or handle other financial transactions related to the foreign bank.

Special instruction for foreign branches of U.S. banks: A branch or office of a U.S. bank outside the United States is a foreign bank. Such a branch or office is not required to complete this Certification with respect to Correspondent Accounts with U.S. branches and offices of the same U.S. bank.

Special instruction for covering multiple branches on a single Certification: A foreign bank may complete one Certification for its branches and offices outside the United States. The Certification must list all of the branches and offices that are covered and must include the information required in Part C for **each** branch or office that maintains a Correspondent Account with a Covered Financial Institution. Use attachment sheets as necessary.

A. The undersigned financial institutions, Access Bank Plc, on behalf of each of its foreign branches, subsidiaries and affiliates listed in the attachment hereto hereby certify as follows:

A "foreign bank" does not include any foreign central bank or monetary authority that functions as a central bank, or any international financial institution or regional development bank formed by treaty or international agreement.

B. Correspondent Accounts Covered by this Certification:

- This Certification applies to **all** accounts established for Access Bank Plc entities by Covered Financial Institutions.
- This Certification applies to Corresponding Accounts established by Access Bank Plc for Foreign Bank

C. Physical Presence/Regulated Affiliate Status:

- I. Access Bank Plc. entities maintain a **physical presence** in any country. This mean:
 - Access Bank Plc has a place of business at the following street address: **14/15 Prince Alaba Oniru Street, Victoria Island, Lagos** where Access Bank Plc. employs one or more individuals on a full-time basis and maintains operating records related to its banking activities.
 - The above address is enclosed in the attachment, where Access Bank Plc. is authorized to conduct banking activities
 - Access Bank Plc. is subject to inspection by Banking Authorities identified in the attachment, the banking authority that licensed Access Bank to conduct banking activities
- II. Foreign Bank does not have a physical presence in any country, but Foreign Bank is a **regulated affiliate. – Not Applicable**
- III. Foreign Bank does **not** have a physical presence in any country and is **not** a regulated affiliate. – **Not Applicable**

D. Indirect Use of Correspondent Accounts:

- No Correspondent Account maintained by a Covered Financial Institution may be used to indirectly provide banking services to certain foreign banks. Access Bank Plc. hereby certifies that it does **not** use any Correspondent Account with a Covered Financial Institution to indirectly provide banking services to any foreign bank that does not maintain a physical presence in any country and that is not a regulated affiliate.

E. Ownership Information:

- I. **Form FR Y-7 is on file.** Foreign Bank has filed with the Federal Reserve Board a current Form FR Y-7 and has disclosed its ownership information on Item 4 of Form FR Y-7. – **Not Applicable**

II. Access Bank's shares are publicly traded. Publicly traded means that the shares are traded on an exchange or an organized over-the-counter market that is regulated by a foreign securities authority as defined in section 3(a)(50) of the Securities Exchange Act of 1934 (15 U.S.C. 78c(a)(50)).

F. Process Agent:

- The following individual or entity: **CT Corporation System** is a resident of United States at the following address: **CT Corporation, 28 Liberty St Floor 42, New York, NY 10005, USA, and** is authorized to accept service of legal process on behalf of Access Bank Plc. from the Secretary of the Treasury or the Attorney General of the United States pursuant to Section 5318(k) of title 31, United State Code.

G. General

- Access Bank Plc entities hereby agree to notify in writing each Covered Financial Institution at which they maintain any Correspondent Account of any change in facts or circumstances reported in this Certification. Notification shall be given within 30 calendar days of such change.
- Access Bank Plc entities understand that each Covered Financial Institution at which they maintain a Correspondent Account may provide a copy of this Certification to the Secretary of the Treasury and the Attorney General of the United States. Access Bank Plc. entities further understand that the statements contained in this Certification may be transmitted to one or more departments or agencies of the United States of America for the purpose of fulfilling such departments' and agencies' governmental functions.

I, **Pattison Boleigha**, certify that I have read and understand this Certification that the statements made in this Certification are complete and correct, and that I am authorized to execute this Certification on behalf of Access Bank entities.

Access Bank Plc.



Pattison Boleigha

Chief Conduct and Compliance Officer

Executed on this 23rd day of February, 2021

ATTACHMENT TO ACCESS BANK PLC. GLOBAL CERTIFICATIONS REGARDING CORRESPONDENT BANK ACCOUNTS FOR ACCESS BANK PLC ENTITIES AS AT 23RD FEBRUARY 2021

S/ N	Access Bank Entity	Street	City	State/Province	Postal Code	Country	Banking Authority	COUNTRY MD	COO/Head of IT & Operations
1	Access Bank DR Congo	158,AVENUE DE LA DEMOCRATIE	KINSHASA	KINSHASA	2000 KIN1	DR CONGO	CENTRAL BANK OF CONGO	ARINZE KENECHUKWU OSUACHAL A	EMERUWA OBIORAWU
2	Access Bank Gambia	Kairaba Avenue	FAJARA	KSMD	P.O BOX 3177	GAMBIA	CENTRAL OF THE GAMBIA	AYOKUNLE OLAJUBU	DAPO ADEPOJU
3	Access Bank Ghana	Starlet 91' Osu	Accra	Greater Accra	P.O.B OX GP 353 Accra Ghana	Ghana	Bank of Ghana	Olumide Olatunji	Ade Ologun
4	Access Bank Guinea	Access Building, Kaloum	Conakry	Conakry	NA	Guinea	Banque Centrale de la République de Guinée (BCRG)	Bolaji Durojaiye	Iheanyi Ononiwu
5	Access Bank Kenya	City Hall Way	Nairobi	Nairobi	P.o Box 34353-00100	Kenya	Central Bank of Kenya	David Oludare Aluko	Olajumoke Ajayi
6	Access Bank Mozambique	Rua dos Desportistas	Maputo	Maputo	1100	Mozambique	Banco de Moçambique	Marco Abalroado	Tope Ademakinwa

7	Access Bank Rwanda	Avenue de la paix	Kigali	Kigali	2059 Kigali	Rwanda	National Bank of Rwanda (BNR)	Jean Claude Karayenzi	Olanma John-Agbaje
8	Access Bank Sierra Leone	Siaka Steven Street	Freetown	Western Urban	232	Sierra Leone	Bank of Sierra Leone (BSL)	Ganiyu Sanni	Iyke Ejezie
9	Access Bank UK	1 Cornhill	London		EC3V 3ND	UK	Bank of England	Jamie Simmonds	Bob Attwood
10	Access Bank Zambia	Plot 682, North End, Cairo Road	Lusaka	Lusaka	10101	Zambia	Bank of Zambia	Joana Bannerman	Ijeoma K. Obowu